Minimal model for synchronization induced by hydrodynamic interactions

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Motivated by the observed coordination of nearby beating cilia, we use a scale model experiment to show that hydrodynamic interactions can cause synchronization between rotating paddles driven at constant torque in a very viscous fluid. Synchronization is only observed when the shafts supporting the paddles have some flexibility. The phase difference in the synchronized state depends on the symmetry of the paddles. We use the method of regularized Stokeslets to model the paddles and find excellent agreement with the experimental observations. We also use a simple analytic theory based on far-field approximations to derive scaling laws for the synchronization time as a function of paddle separation.

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I. INTRODUCTION

One of the central aims in the field of cell motility is to understand how a collection of beating cilia coordinates, or, on a larger scale, how a collection of swimming organisms form coherent patterns. For example, Paramecium swims by propagating waves of ciliary beating along its surface [1]. The alga Chlamydomonas beats its two flagella in synchrony to swim straight and asynchronously to change its orientation [2,3]. At the level of a population of cells, sea urchin spermatozoa spontaneously form vortex patterns in the absence of cell signaling [4]. Coordination of cilia is also important in the transport of fluid. The coordination of nodal cilia in developing vertebrate embryos has been implicated in the determination of left-right asymmetry of the organism [5]. The cilia lining the human airway must beat in a coordinated manner to sweep foreign particles up the airway. Beating cilia may also play a role in the transport of sperm and egg during fertilization in mammals [6].

These examples are instances of the general tendency for the emergence of synchronization in a broad array of physical and biological systems [7]. In this article, we investigate the long-standing hypothesis that the coordination observed in nearby beating cilia or swimmers is due to hydrodynamic interactions between these objects [8,9]. In recent years, there have been many computational and theoretical studies to support this hypothesis [10–19]. The key physical fact underlying all of these studies is that at the small scale of the cell, where the Reynolds number $\Re \ll 1$, the velocity field arising from a deforming body falls off slowly with distance, leading to significant hydrodynamic forces between nearby bodies. Furthermore, the development of a fixed phase difference between two bodies—phase locking—requires some kind of compliance in which the deforming body can adjust its beat pattern in response to hydrodynamic forces from other nearby bodies.

The nature of this compliance is subtle. In the case of two rotating rigid helices driven with fixed torques (the “deformation” here is rotation), the freedom of the phase of each helix to speed up or slow down to maintain the fixed torque for all phase differences does not lead to phase locking [20]. Theoretical calculations suggest that additional degrees of freedom are required for phase locking, or synchronization. For example, synchronization develops if the shafts of the rotating helices are connected to fixed points by stiff springs, allowing the axes of the helices to translate or tilt [14]. The directions of these small motions depend on whether the hydrodynamic forces are attractive or repulsive, which in turn depends in detail on the phase difference (cf. the case of nearby swimmers [21,22]).

The complexities of designing experiments that include both hydrodynamic interactions and controlled elastic deformation at very low Reynolds numbers have hindered experimental studies of hydrodynamic synchronization; therefore, we built a scale model system that captures the essential physics, allows for detailed measurements, and is amenable to modeling. This paper presents results from experiments (Sec. II), numerical simulations (Sec. III), and a theoretical model (Secs. IV and V) that together outline a coherent framework for describing hydrodynamic synchronization.

II. EXPERIMENT

Figure 1 illustrates the experimental configuration. Two thin paddles are immersed in a large tank ($60 \times 60 \times 60$ cm) filled with a viscous fluid ($\eta=110$ N s/m$^2$), separated at their closest approach by a small gap, $\delta=3.6$ mm. We study two different paddle configurations: symmetric and asymmetric. The symmetric paddle has the axis of rotation through the paddle center and dimensions $h=60$ mm, $w=2R=30$ mm, and thickness $t=6$ mm. The asymmetric paddle has the axis of rotation through one edge and dimensions $60 \times 20 \times 6$ mm. The paddles are small compared to the size of the tank. By repeating some of the experiments with the paddles at different positions within the tank, we confirmed that the side walls did not affect the results in any appreciable manner.

The paddles are supported by shafts that are hardened steel, of diameter $6.35$ mm and length $\ell=120$ mm, connected to the motors via flexible couplings that allow the paddles to tilt. The shafts are so rigid that bending due to hydrodynamic forces is negligible, but the couplings act as
At these conditions, the Reynolds number, $Re=\rho_0R^2/\eta \approx 10^3$, is small enough to justify the neglect of inertial forces. This was confirmed experimentally by noting that if the paddle rotation was initiated with a constant velocity, the time taken to reach constant torque was less than 250 ms. For these Stokes flows, the characteristic velocities scale linearly with the motor torques $(M_1, M_2)$, and the state of the system is determined by the angles of the two paddles $(\theta_1, \theta_2)$ (Fig. 1) and the small shifts of the paddles due to the flexible couplers. In the high-stiffness case, the paddles did not synchronize in any measurable time; instead, the phase of each paddle increased roughly linearly with driving torque, $(\theta_1, \theta_2) \propto (tM_1, tM_2)$, independent of the initial phase difference [Figs. 2(a) and 2(b)]. However, paddles with flexible couplers and small distance of closest approach locked phases in 10–20 revolutions [Fig. 2(c)]. Note that we measure time in units of $T_0=6\pi \eta R^3/M$ (M is the mean torque), which is roughly one tenth of a rotation period. The data we display in this article are for a dimensionless gap size $\delta/R = 0.24$. We also varied $\delta/R$ for both kinds of paddles from 0.1 to 0.6, and found that the time to synchronize increased with spacing, with longer times and a faster increase for the symmetric paddles (Fig. 3).

For $M_1=M_2$, the symmetric paddles locked phases at $\Delta \theta=\theta_1-\theta_2=\pi/2$, and the asymmetric paddles settled at $\Delta \theta=0$ (Fig. 4). These two states represent the conditions that roughly maximize the distance of closest approach of the two paddles. Since the paddles would minimize their distance of closest approach if they maintained their typical initial phase differences $|\Delta \theta|=0$ for the symmetric paddles, $\Delta \theta=\pi$ for the asymmetric paddles), the rotation speed of each paddle rises as the paddles synchronize. Denoting the rotation speed of an isolated paddle by $\omega_0$, we found that the speed of both symmetric paddles rises from $0.72\omega_0$ to 0.85$\omega_0$ as synchronization develops, whereas the speed of both asymmetric paddles rises from $0.75\omega_0$ to 0.93$\omega_0$. While these synchronized states are stable, there is a consistent and repeatable phase fluctuation (Fig. 4, inset) corresponding to the variation in rotational speeds as the hydrodynamic interactions between the paddles wax and wane during a cycle. The fluctuation amplitude in the asymmetric case is larger than in the symmetric case because there is a larger variation in the distance between the asymmetric paddles during a period. These observations...
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The normalized gap between the paddles is

\[ \frac{\delta}{R} \]

for symmetric paddles (circles) and asymmetric paddles (squares). For symmetric paddles, the dimensionless synchronization time is measured from the moment of phase-difference \( \Delta \theta = 0.1 \) to the time of the first stable state \( \Delta \theta = \pi/2 \). For asymmetric paddles, the dimensionless synchronization time is defined as the time from \( \Delta \theta = 0.8 \) to \( \Delta \theta = 0 \). The uncertainty due to the system noise. Time is normalized by \( T_0 = 6 \pi \eta R^3/M \).

FIG. 3. (Color online) Dimensionless synchronization time vs dimensionless gap size \( \delta/R \) for symmetric paddles (circles) and asymmetric paddles (squares). For symmetric paddles, the dimensionless synchronization time is measured from the moment of phase-difference \( \Delta \theta = 0.1 \) to the time of the first stable state \( \Delta \theta = \pi/2 \). For asymmetric paddles, the dimensionless synchronization time is defined as the time from \( \Delta \theta = 0.8 \) to \( \Delta \theta = 0 \). The uncertainty comes from the low frequency fluctuation in \( \Delta \theta \) due to the system noise. Time is normalized by \( T_0 = 6 \pi \eta R^3/M \).

III. NUMERICAL SIMULATIONS

These experiments give strong evidence that the phase-locking of the paddles is due to hydrodynamic interactions. We tested this hypothesis by using the method of regularized Stokeslets [24] to model the flows induced by the paddles. Each paddle is replaced by a rectangular array of regularized Stokeslets \( S_{\mu \nu}^b \) with strength \( f_{\nu} \), where \( \mu \) and \( \nu \) label the Cartesian coordinates \( x, y, \) and \( z \). The flow from the Stokeslet at \( x' \) is given by

\[ v_{\mu}(x) = \sum_{\nu} S_{\mu \nu}^b(x, x') f_{\nu} \quad (1) \]

with associated pressure

\[ p(x) = \sum_{\nu} p_{\nu}(x, x') f_{\nu} / 8 \pi \quad (2) \]

The Stokeslet \( S_{\mu \nu}^b \) satisfies

\[ \sum_{\nu} \partial S_{\mu \nu}^b / \partial x_{\nu} = 0 \quad (3) \]
are the angles assumption that the paddles are rigid, the degrees of freedom can undergo slight shifts in the horizontal plane. With the simplicity we suppose that the shafts are always vertical, but might be present in the experimental apparatus.

We can imagine shafts perpendicular to the plane of the page. The rods are rotated by moments \( R \) and \( r \). The number of Stokeslets and the spread \( b \) are chosen to give good agreement between the measured and simulated resistance coefficient for a single rotating paddle at small time scales: (i) a short time scale \( T_s = \eta a / k \) (recall \( k = k_T / \zeta_s \)) that controls the rate of relaxation of the springs, (ii) an intermediate time scale \( T_i = 6 \pi \eta a R^2 / M_1 \) [28] that controls the period of an isolated ball driven by torque \( M_1 \), and (iii) a long time scale \( T_g \) that characterizes the time for phase-locking to develop. Since the springs are stiff, \( T_i \ll T_g \). The goal of the simple model is to give a clear derivation of how phase-locking arises from hydrodynamic interactions, which vanish when \( a / D \rightarrow 0 \), we expect \( T_s \) to scale as some power of \( D / a \) for large \( D / a \).

B. Separation of time scales

The analysis of the equations of motion is simplified by the recognition that our problem has three well-separated time scales: (i) a short time scale \( T_s = \eta a / k \) (recall \( k = k_T / \zeta_s \)) that controls the rate of relaxation of the springs, (ii) an intermediate time scale \( T_i = 6 \pi \eta a R^2 / M_1 \) [28] that controls the period of an isolated ball driven by torque \( M_1 \), and (iii) a long time scale \( T_g \) that characterizes the time for phase-locking to develop. Since the springs are stiff, \( T_i \ll T_g \). The goal of the simple model is to give a clear derivation of how \( T_s \) depends on the parameters of the problem. Since the phase-locking arises from hydrodynamic interactions, which vanish when \( a / D \rightarrow 0 \), we expect \( T_s \) to scale as some power of \( D / a \) for large \( D / a \).

C. Dimensionless far-field equations of motion for \( \theta_i \) and \( x_i \)

Since the balls are far apart, we expand the equations of motion in powers of \( 1 / D \), assuming that \( a \ll D \) and \( R \ll D \). Measuring length in units of \( R \), time in units of \( T_i \), and using force balance to eliminate \( f_i \), leads to the dimensionless equations of motion,

\[
(X + \dot{\Theta}) = -HX/\epsilon. \tag{9}
\]

In Eq. (9), \( \epsilon = M_1 / (kR^2) \ll 1 \), \( X \), and \( \Theta \) are 4 × 1 vectors with...
\[ \begin{align*}
\mathbf{X} &= \left( \begin{array}{c} x_1 \\ x_2 \end{array} \right), \quad \mathbf{\Theta} = \left( \begin{array}{c} \hat{\theta}_1 \\ \hat{\theta}_2 \end{array} \right), \\
&= \begin{bmatrix} 1 & \frac{3}{4} \frac{a}{D}(1 + \hat{x}x) \\ \frac{3}{4} \frac{a}{D}(1 + \hat{x}x) & 1 \end{bmatrix},
\end{align*} \]

where \( \hat{\theta} = (-\sin \theta, \cos \theta) \). The \( 4 \times 4 \) matrix \( \mathbf{H} \) is the Oseen tensor to leading order in \( a/D \).

\[ \hat{\mathbf{M}}_k = \mathbf{M}_2 - \mathbf{M}_1. \]

From these equations, we conclude that \( \mathbf{x} = O(\epsilon) \). Note that the shafts have a nonzero displacement \( \mathbf{x} \) even when the paddles are isolated.

### D. Far-field equations of motion for average angular speed and phase difference

To understand phase-locking, it is not necessary to resolve the motion of the paddles on the short time scale \( T_k \). In dimensionless variables, these short-scale motions are characterized by transients of the form \( \exp(-\epsilon t) \). By considering dimensionless times \( t \gg \epsilon \), we may neglect these transients and treat \( \epsilon \mathbf{X} \) as small. Physically, this approximation reflects the fact that once the transients have decayed, the transients incurred by the small motions \( \mathbf{X} \) arising from the extension of the springs are small, but not negligible, compared to the drag forces due to the rotation \( \mathbf{\Theta} \) of the balls about the shafts. Therefore, we solve Eq. (9) for \( \mathbf{X} \) using iteration, finding

\[ \mathbf{X} \approx - \epsilon \mathbf{H}^{-1} \mathbf{\Theta} + \epsilon^2 \mathbf{H}^{-1} \frac{d}{dt} (\mathbf{H}^{-1} \mathbf{\Theta}). \]

In terms of \( \theta_i \), we have

\[ \mathbf{X} \approx \epsilon \begin{bmatrix} -\partial_1 \hat{\theta}_1 + \frac{3}{2} \frac{a}{D} \partial_2 \hat{\theta}_2 \\ -\partial_2 \hat{\theta}_2 + \frac{3}{2} \frac{a}{D} \partial_1 \hat{\theta}_1 \end{bmatrix} + \epsilon^2 \begin{bmatrix} -\partial_1^2 \hat{\theta}_1 + \frac{3}{2} \frac{a}{D} \partial_2^2 \hat{\theta}_2 \\ -\partial_2^2 \hat{\theta}_2 + \frac{3}{2} \frac{a}{D} \partial_1^2 \hat{\theta}_1 \end{bmatrix}, \]

where we have only retained terms of \( O(a/D) \).

In Eq. (15), we have discarded terms of the form \( \partial_0 \), since they are \( O(a^2/D^2) \). To see why, observe that for time scales longer than \( T_k \), the motion is characterized by two well-separated time scales, \( T_s \) and \( T_k \). The form of the interaction suggests that \( T_s \approx D/a \). To explicitly account for the multiple scales \( T_1 \) and \( T_s \), write \[ \theta_{1,2} = \omega(\tau) + \Delta \theta(\tau)/2, \]

where \( \tau = at/D \) describes the slowly varying time dependence of the rotational frequency and the phase difference. Note that \( \omega(\tau) \) is the average angular speed, and \( \Delta \theta \) is the average phase difference. The angular speed and phase difference also have rapidly varying parts with zero average, but these are lower order in \( a/D \) [26]. Equation (16) shows that the leading term of \( \dot{\theta} \) is \( (a/D)\omega'(\tau) \). But since the average rotation speed \( \omega \) is constant in the absence of interactions, \( \omega'(\tau) \) must be at least \( O(a/D) \). Thus, \( \theta_i \) is at least \( O(a^2/D^2) \).

To find the governing equations for angular speed \( \omega \) and phase-difference \( \Delta \theta \), substitute the shaft displacements \( \mathbf{x} \), from Eq. (15) into moment balance, Eqs. (12) and (13). Finally, average the resulting equations over a period, treating the slowly varying variables \( \omega \) and \( \Delta \theta \) as constants under the average. We find that the average dimensionless speed is given by

\[ \omega = 1 + \frac{\Delta M}{2M_1} + \frac{9}{8} \frac{a}{D} \cos \Delta \theta. \]

The interacting paddles turn faster than they would in isolation. This result is in contrast with our paddle experiments, where we saw in §II that the asymmetric paddles rotated more slowly compared to an isolated paddle. It is too much to demand that our far-field theory captures every aspect of the paddle experiments, since the paddles are close to each other in the experiment and the theory is valid when they are far apart.

The dimensionless phase difference obeys

\[ \frac{d\Delta \theta}{dt} = -\frac{9}{2} \frac{a}{D} \epsilon \sin \Delta \theta + \frac{\Delta M}{M_1}. \]

These results (17) and (18) are equivalent to the results of Ref. [18]. For equal driving torques, \( \Delta M = 0 \), Eq. (18) shows that the paddles synchronize to \( \Delta \theta = 0 \), independent of the initial value of \( \Delta \theta \) in (dimensional) time \( T_s \sim (D/a)(kR^2/M_1)T_1 \), or

\[ T_s \sim \frac{D}{a} \frac{kR^2}{M_1} \frac{\pi \eta R^2}{M_1}. \]

When \( M_1 \neq M_2 \), the paddles phase-lock with a nonzero phase difference, which increases to \( \pi/2 \) in the steady state as the torque difference increases to the critical value given by \( \Delta M/M_1 = (9/4)(a/D)M_1/(kR^2) \). Note that the factor of \( a/D \) and the smallness of \( \epsilon \) mean that \( M_2 \) must be very close to \( M_1 \) for the phase-difference \( \Delta \theta \) to have a fixed point. Thus, in the derivation of Eqs. (17) and (18) we considered \( \Delta M/M_1 \) and \( T_s \Delta \theta \) to be \( O(a/D) \).

This simple theory predicts that \( T_s/T_1 \) varies inversely with torque, whereas the experiments show that \( T_s/T_1 \) depends at most weakly on torque (Fig. 5). Again, the resolution of this discrepancy is that the simple theory is valid in the far-field limit with \( D \gg a \), whereas the experiments are carried out in the near-field regime where \( T_s/T_1 \) is independent of torque.
torques on the left paddle. The phase-difference $\Delta \theta$ is governed by the difference of the torques, which for small $\Delta \theta$ is given by the difference of the small (green) arrows in Figs. 8(a) and 8(b). The torque difference makes $\Delta \theta=0$ a stable fixed point (for $\Delta M=0$).

F. Power dissipation

We may readily examine the question of power dissipation using our simple model. First note that for fixed driving torques, the power dissipated decreases when the hydrodynamic resistance of the paddles increases. Therefore, when $\Delta \theta=0$, the drag is minimized and the dissipation rate is maximized. As $\Delta M$ increases, the increase in $\Delta \theta$ leads to greater resistance and therefore lower dissipation rate. To leading order in $\epsilon$, we may use Eq. (17) to show that the dimensionless power averaged over one period, $P=M_1 \dot{\theta}_1 + M_2 \dot{\theta}_2$, takes the form

$$P = \frac{2 + 9 a}{4 D} \cos \Delta \theta.$$

In general, the phase difference chosen by the system does not minimize the power dissipated. The same conclusion has been reached for the hydrodynamic phase-locking of nearby swimming sheets [19].

V. SIMPLE MODEL FOR SYMMETRIC PADDLES

A. Oseen model and nondimensionalization

To understand why the symmetric paddles lock phases with $\Delta \theta=\pi/2$ when $\Delta M=0$, we model the paddles as dumbbells (Fig. 9). Each dumbbell consists of two balls connected by a rod that does not disturb the fluid as it moves. The midpoint of each rod is attached to a fixed point by a stiff spring, and the balls at the ends of the rods have positions

$$r_{1z} = -(D/2 \hat{x} + x_1) \pm R \hat{p}_1,$$

$$r_{2z} = (D/2 \hat{x} + x_2) \pm R \hat{p}_2,$$

where $x_i$ is the displacement of the midpoint of the $i$th rod from the corresponding fixed point. Denoting by $f_{ix}$ the forces that the balls on the $i$th dumbbell exert on the fluid, the balance of forces on each dumbbell implies

$$-f_{ix} - f_{ix} - kx_i = 0,$$

and the balance of torques implies
Assuming all balls are far apart, we again use the Oseen model, Eq. (7), this time extended to the four balls labeled \( \alpha = -1, 1, 2^-, 2^+ \)

\[
 \mathbf{v}_a = \frac{f_x}{6\pi \eta a} + \frac{1}{8\pi \eta} \sum_{\beta \neq \alpha} \left[ \frac{f_{\beta B} r_{a \beta}}{|r_{a \beta}|^3} + \frac{(f_{\beta B} \cdot r_{a \beta}) r_{a \beta}}{|r_{a \beta}|} \right],
\]

where \( r_{a \beta} = r_a - r_\beta \). This is valid when \( R \gg a \) and \( D \gg a \), but we will also assume \( D \gg R \).

The \( \theta_i \to \theta_i + \pi \) symmetry of the dumbbells makes the hydrodynamic interaction between the dumbbells more subtle than the asymmetric case. First observe that the spring of an isolated rotating dumbbell does not stretch since the net hydrodynamic force on the balls vanishes. Thus, \( x_i = 0 \) when \( D \to \infty \). However, for finite \( D/R \), the flow induced by the rotation of one dumbbell causes the spring of the other dumbbell to stretch. To estimate the amount of stretch, consider the flow induced by dumbbell 1 at dumbbell 2. The far-field flow is an asymmetric force dipole, also known as a rotational force on the balls vanishes. Thus, \( \theta_1 - \theta_2 = 0 \). Thus, the dimensionless displacement is \( |x_2| \sim e a / D^2 \).

### B. Far-field equations of motion

For each spring of a pair of rotating dumbbells, the leading-order stretch of the spring is second order in \( D^{-1} \). However, to derive equations describing phase-locking of symmetric dumbbells, we will see that we must expand the displacements \( x_i \) to \( O(D^{-3}) \). These third-order displacements arise from reflections of the dipole force. For example, the \( O(D^{-2}) \) deflection of spring 2 from the dipole originating at dumbbell 1 induces a point force at dumbbell 2. This point force causes an \( O(D^{-3}) \) displacement at dumbbell 1. As we will see, to find \( x_i \to O(D^{-3}) \) we need only expand the Oseen tensor to second order in \( D^{-1} \). Thus, to second order in \( D^{-1} \), Eq. (25) becomes

\[
\begin{pmatrix}
  x_1 + \dot{\theta}_1 \dot{\theta}_1 \\
  x_1 - \dot{\theta}_1 \dot{\theta}_1 \\
  x_2 + \dot{\theta}_2 \dot{\theta}_2 \\
  x_2 - \dot{\theta}_2 \dot{\theta}_2
\end{pmatrix}
= \mathbf{H}
\begin{pmatrix}
  f_{1^+} \\
  f_{1^-} \\
  f_{2^+} \\
  f_{2^-}
\end{pmatrix},
\]

where \( \mathbf{H} \) is the \( 8 \times 8 \) Oseen tensor evaluated at \( x_1 = x_2 = 0 \) and expanded to \( O(D^{-2}) \).

Before describing \( \mathbf{H} \), it is convenient to re-express Eq. (27) in terms of the sums and differences of forces on each paddle, \( f_+ = f_{1^+} + f_{2^+} \) and \( \Delta f_+ = f_{1^+} - f_{2^+} \), respectively. Note that in terms of these variables, the dimensionless force-balance equation (23) becomes

\[
- f_+ x_i / e = 0,
\]

and the dimensionless moment-balance equations become

\[
1 - \Delta f_+ \cdot \dot{\theta}_1 = 0,
\]

\[
1 + \Delta f_+ \cdot \dot{\theta}_2 = 0,
\]

where \( M_1 \) is the unit for torque. Returning to Eq. (27), we add and subtract the appropriate rows of Eq. (27) and rearrange to find

\[
\dot{\mathbf{X}} = \frac{1}{\epsilon} \mathbf{A} \mathbf{X} + \mathbf{B} \Delta \mathbf{F},
\]

\[
\dot{\mathbf{\Theta}} = \frac{1}{\epsilon} \mathbf{C} \mathbf{X} + \mathbf{D} \Delta \mathbf{F},
\]

Expanding in powers of \( D^{-1} \), we find (see Appendix)

\[
\mathbf{A} = \mathbf{A}^{(0)} + D^{-1} \mathbf{A}^{(1)} + O(D^{-2}),
\]

\[
\mathbf{B} = D^{-2} \mathbf{B}^{(2)} + O(D^{-3}),
\]

\[
\mathbf{C} = D^{-2} \mathbf{C}^{(2)} + O(D^{-3}),
\]

\[
\mathbf{D} = D^{(0)} + O(D^{-3}).
\]

Likewise, we expand \( \mathbf{X} \) and \( \Delta \mathbf{F} \) in powers of \( D^{-1} \)

\[
\mathbf{X} = \mathbf{X}^{(0)} + D^{-1} \mathbf{X}^{(1)} + D^{-2} \mathbf{X}^{(2)} + \cdots,
\]

\[
\Delta \mathbf{F} = \Delta \mathbf{F}^{(0)} + D^{-1} \Delta \mathbf{F}^{(1)} + D^{-2} \Delta \mathbf{F}^{(2)} + \cdots
\]

Since \( \mathbf{B} \) and \( \mathbf{C} \) are \( O(D^{-2}) \) at leading order, our order of expansion is sufficient for determining \( \mathbf{X} \) to \( O(D^{-3}) \) and \( \Delta \mathbf{F} \) to \( O(D^{-5}) \). At zeroth order, we find \( \mathbf{X}^{(0)} = 0 \), as expected, and

\[
\Delta \mathbf{F}^{(0)} = [\mathbf{D}^{(0)}]^{-1} \dot{\mathbf{\Theta}},
\]

Substituting \( \Delta \mathbf{F}^{(0)} \) into the moment-balance equations (29) and (30), taking their sum and difference, using Eq. (16) to eliminate \( \dot{\theta}_1 \) and \( \dot{\theta}_2 \) in favor of \( \omega \) and \( \Delta \theta \), and integrating over one period yields the dimensionless average speed and phase difference,

\[
\omega^{(0)} = 1/2(1 - 3a/8),
\]

\[
\Delta \dot{\theta}^{(0)} = (1 - 3a/8) \Delta M / M_1.
\]

Since \( \omega^{(0)} \) and \( \Delta \dot{\theta}^{(0)} \) are independent of \( D \), they are the average speed and phase difference, respectively, for noninter-
acting dumbbells. There is no phase-locking if there is no interaction, and the phase difference increases in proportion to the difference in driving torques, $\Delta \mathbf{M}$.

Note that the factors of $3a/8$ in Eq. (40) are due to the interaction between the two balls of a given dumbbell: one ball induces a disturbance flow of magnitude $(6\pi \eta a \theta)/(8 \pi \rho^2) = 3a \theta/8$ at the other ball. This disturbance flow hinders the motion of the other ball.

The leading-order displacements of the shafts are given by

$$\dot{X}^{(2)} = \frac{1}{\epsilon} A^{(0)} X^{(2)} + B^{(2)} \Delta F^{(0)}.$$  

As in the case of the asymmetric paddles, this equation is readily solved to $O(\epsilon^2)$; however, the full expression is so cumbersome that we only report the result to leading order in $\epsilon$ and $a$ in the appendix. The next order contribution to the force difference is given by

$$0 = \frac{1}{\epsilon} C^{(2)} X^{(2)} + D^{(0)} \Delta F^{(4)}.$$  

Again, the full expression for $\Delta F^{(4)}$ is so cumbersome that we only report the leading-order terms in the appendix. Using $\Delta F^{(4)}$ in the difference of the moment equations and averaging yields terms proportional to $\Delta \theta$, which do not lead to phase-locking. The average of the sum of the moment equations leads to a decrease in the average rotation speed, which together with Eq. (41) yields

$$\omega = \frac{1}{2} \frac{3a}{16} - \frac{153 a^2}{16} D^2.$$  

The interacting paddle turns more slowly than they would in isolation.

The third-order displacement of the shafts is determined by

$$\dot{X}^{(3)} = \frac{1}{\epsilon} A^{(1)} X^{(2)} + \frac{1}{\epsilon} A^{(0)} X^{(3)}.$$  

Solving for $X^{(3)}$ (see Appendix for leading terms), and substituting into

$$0 = \frac{1}{\epsilon} C^{(2)} X^{(3)} + D^{(0)} \Delta F^{(5)}$$  

yields $\Delta F^{(5)}$ (see Appendix for leading terms), which has terms that lead to phase-locking. Using moment-balance equations (29) and (30) and averaging, together with the leading-order result (40), yields

$$\Delta \dot{\theta} = \frac{1}{2} \Delta M + \frac{243}{8} \frac{a^3}{D^2} \sin 2\Delta \theta.$$  

Equation (48) is the main result of this section. The (dimensional) synchronization time for the symmetric paddles scales as

$$\tau_s \sim \frac{D^5 k R^2 6 \pi \eta a R^2}{a^3 R^2 M_1}.$$  

When $\Delta M = 0$, Eq. (48) has a stable fixed point at $\Delta \theta = \pi/2$, in accord with our experiments and the more accurate regularized Stokeslet simulation of § III. As in the case of the asymmetric paddles, the torque difference must be small for phase-locking to occur. The critical torque difference, above which phase-locking cannot occur, is $\Delta M_{\text{crit}} = (243/4) a^3 / D^2$. Note that the average phase difference in the phase-locked state depends on $\Delta \mathbf{M}$. Note also that the time for phase-locking depends more strongly on separation for the symmetric paddles compared to the asymmetric paddles. It is not easy to give a simple physical picture for why the paddle separation $D$ enters the synchronization time with a fifth power. We simply note two effects: (1) the flow induced by the force dipole of one paddle reflects off the other paddle, and then again off the first paddle, leading to four powers of $D^{-1}$, and (2) the torque exerted by a flow on the paddle arises from the difference in the flow at the two ends of the paddle, leading to another factor of $D^{-1}$. Our case is reminiscent of the fifth power that appears in the reorientation of oscillating dumbbells [21]. Although our experiments were not carried out in the far-field regime, we found that the synchronization time depends more strongly on separation in the symmetric case compared to the asymmetric case (Fig. 3). Finally, we note that to leading order in $D^{-1}$, the power dissipated in the synchronized state is independent of $\Delta \theta$, since the average rotation speed $\omega$ in the synchronized state is independent of $\Delta \theta$ [Eq. (45)].

VI. CONCLUSION

To summarize, we have presented perhaps the simplest experimental realization of the phenomenon of hydrodynamic synchronization at low Reynolds number. The requirements for synchronization are subtle: the system must have a slight flexibility to allow small shifts in the positions of the paddles. Since this flexibility is generic, we expect that conditions allowing hydrodynamic synchronization will commonly arise in a wide range of systems at low Reynolds number. On the other hand, our work indicates that hydrodynamic synchronization is not robust, since it requires that the driving moments be fine-tuned to be close to each other.

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APPENDIX: OSEEN TENSOR FOR SYMMETRIC PADDLES

For $\mathbf{H}$ evaluated at $\epsilon = 0$ and the matrices $\mathbf{A}$, $\mathbf{B}$, $\mathbf{C}$, and $\mathbf{D}$ defined in Eqs. (31) and (32), expanding in powers of $D^{-1}$...
yields

$$A = \begin{pmatrix}
-\frac{1}{2} - \frac{9a}{32} - \frac{3}{32} a \cos(2\theta_1) & \frac{3}{32} a \sin(2\theta_1) & -\frac{3a}{2D} & 0 \\
-\frac{3a}{2D} & -\frac{1}{2} - \frac{9a}{32} + \frac{3}{32} a \cos(2\theta_1) & 0 & -\frac{3a}{4D} \\
0 & 0 & -\frac{1}{2} - \frac{9a}{32} - \frac{3}{32} a \cos(2\theta_2) & -\frac{3a}{4D} \\
0 & 0 & 0 & -\frac{1}{2} - \frac{9a}{32} + \frac{3}{32} a \cos(2\theta_2)
\end{pmatrix}$$

and

$$D = \begin{pmatrix}
+\frac{1}{2} - \frac{9a}{32} - \frac{3}{32} a \cos(2\theta_1) & \frac{3}{32} a \sin(2\theta_1) & 0 & 0 \\
-\frac{3a}{4D} & 1 + \frac{1}{2} - \frac{9a}{32} - \frac{3}{32} a \cos(2\theta_2) & -\frac{3a}{4D} & 0 \\
0 & 0 & 0 & \frac{1}{2} - \frac{9a}{32} + \frac{3}{32} a \cos(2\theta_2)
\end{pmatrix}$$

for the blocks on the diagonal of the Oseen tensor. For the blocks off the diagonal, we have

$$B = \begin{pmatrix}
0 & 0 & -\frac{3a^2}{2D^2} \cos \theta_2 & \frac{3a^2}{4D^2} \sin \theta_2 \\
0 & 0 & \frac{3a^2}{4D^2} \sin \theta_2 & -\frac{3a^2}{4D^2} \cos \theta_2 \\
3a^2 \cos \theta_1 & -\frac{3a^2}{4D^2} \sin \theta_1 & 0 & 0 \\
\frac{3a^2}{4D^2} \sin \theta_1 & 3a^2 \cos \theta_1 & 0 & 0
\end{pmatrix}$$

and

$$C = \begin{pmatrix}
0 & 0 & -\frac{3a}{2D^2} \cos \theta_1 & \frac{3a}{4D^2} \sin \theta_1 \\
0 & 0 & \frac{3a}{4D^2} \sin \theta_1 & -\frac{3a}{4D^2} \cos \theta_1 \\
3a \cos \theta_2 & -\frac{3a}{4D^2} \sin \theta_2 & 0 & 0 \\
\frac{3a}{4D^2} \sin \theta_2 & 3a \cos \theta_2 & 0 & 0
\end{pmatrix}$$
The second-order spring deflection to leading order in $\epsilon$ and $a$ is

$$X^{(2)} = a \epsilon \begin{pmatrix} (9/2)\dot{a}_2 \sin(2\theta_1) \\ -3\dot{a}_1 \\ -(9/2)\dot{a}_1 \sin(2\theta_1) \\ 3\dot{a}_1 \end{pmatrix} + O(\epsilon a^2). \quad (A5)$$

The fourth-order force difference to leading order in $a$ and $\epsilon$ is

$$\Delta F^{(4)} = \frac{9}{4}a^2 \begin{pmatrix} -\dot{a}_1 [5 \sin \theta_1 + 3 \sin(3\theta_1)] \\ 2\dot{a}_1 \cos \theta_1 (1 + 3 \sin^2 \theta_1) \\ -\dot{a}_2 [5 \sin \theta_2 + 3 \sin(3\theta_2)] \\ 2\dot{a}_2 \cos \theta_2 (1 + 3 \sin^2 \theta_2) \end{pmatrix}. \quad (A6)$$

The third-order spring deflection, leading order in $\epsilon$ and $a$,

$$X^{(3)} = \frac{9}{2}a^2 \epsilon \begin{pmatrix} 3\dot{a}_1 \sin(2\theta_1) \\ -\dot{a}_1 \\ -3\dot{a}_2 \sin(2\theta_2) \\ \dot{a}_2 \end{pmatrix}. \quad (A7)$$

Finally, again to leading order in $\epsilon$ and $a$,

$$\Delta F^{(5)} = \frac{27}{4}a^3 \begin{pmatrix} -\dot{a}_1 [\sin \theta_1 + 6 \cos \theta_1 \sin(2\theta_2)] \\ \dot{a}_2 [\cos \theta_1 + 3 \sin \theta_1 \sin(2\theta_2)] \\ -\dot{a}_2 [\sin \theta_2 + 6 \cos \theta_2 \sin(2\theta_1)] \\ \dot{a}_1 [\cos \theta_2 + 3 \sin \theta_2 \sin(2\theta_1)] \end{pmatrix}. \quad (A8)$$